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FROM: Richard D. CONARD, Attorney for Applicants

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DATE: 13 November 2006

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Re: U.S. Application Serial No. 10/590673  
U.S. National Phase of PCT International Serial No.: PCT/AU2005/000255  
International Filing Date: 28 February 2005 (28.02.05)  
Applicant/Inventor: BLAKE, Christopher L.; SIVARAM, Karthik  
Title: AN ACCESS CONTROL SYSTEM  
Priority Claim: 2004901016  
Priority Date: 27 February 2004 (27.02.04)  
Our File: 39084-200742

Attached is ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS AND  
REQUEST FOR REFUND in duplicate. The original is being forwarded via Express Mail No.  
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CLIENT# 39084  
MATTER# 200742

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**IN THE UNITED STATES PATENT OFFICE**

**Priority Date:** 27 February 2004 (27.02.04)  
**Customer No.:** 23643  
**Application Serial No.:** 10/590,673  
**Inventor:** AN ACCESS CONTROL SYSTEM  
**Applicant:** BLAKE, Christopher I.; SIVARAM, Karthik  
**International Filing Date:** 28 February 2005 (28.02.05)  
**Attorney Docket:** 39084-200742  
**PCT Application Serial No.:** PCT/AU2005/000255

**Certificate Under 37 CFR 1.10**Express Mail Label No. EV 633513273 USDate of Deposit: 13 November 2006

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**ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS AND  
REQUEST FOR REFUND**

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Sir:

The captioned application was lodged on 25 August 2006 (25.08.06) with payment of fees as a large entity. **Applicants of the captioned application are entitled to small entity status.** The application was filed with Check No. 369128 in the amount of 11/28/2006 SBASHEIR 00000005 10590673

01 FC:2631	150.00 OP
02 FC:2633	100.00 OP
03 FC:2642	200.00 OP
04 FC:2617	65.00 OP

\$3,130.00 for the total national fee and authorization for the Director to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 10-0435.

Attorney for Applicants hereby respectfully requests a refund of \$1,590.00 pursuant to 37 C.F.R. § 1.27(c), and § 1.28(a), the portion of the originally transmitted national fee in excess of that required for a small entity. Thus, an assertion of entitlement to small entity status and a request for refund are being made within three months of the payment of the above-identified fee under 37 C.F.R. § 1.28(a).

In view of the foregoing, Attorney for applicants requests that a check in the amount of \$1,590.00 be sent to applicants' attorneys at the address above, or credit that amount to Deposit Account No. 10-0435 using Attorney Docket No. 39084-200742. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Richard D. CONARD  
Registration No. 27321  
Attorney for Applicant

RDC:mje/848696v1

Indianapolis, IN 46204  
317-231-7285

Repln. Ref: 11/28/2006 SBASHEIR 0010425000  
DAB:103435 Name/Number:10390673  
FC: 9204 \$515.00 CR

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